

Gareth Owens LL.B Barrister/Bargyfreithiwr
Chief Officer (Governance)
Prif Swyddog (Llywodraethu)



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To: Sally Ellis (Chair)

Councillors: Bernie Attridge, Glyn Banks, Allan Marshall, Ryan McKeown,
Andrew Parkhurst and Linda Thomas

Co-opted Members:

Allan Rainford and Rev Brian Harvey

15 March 2023

Dear Sir/Madam

NOTICE OF REMOTE MEETING
GOVERNANCE AND AUDIT COMMITTEE
WEDNESDAY, 22ND MARCH, 2023 at 10.00 AM

*** Members of the Committee are reminded that there will be a training session on the Annual Governance Statement prior to the meeting**

Yours faithfully

Steven Goodrum
Democratic Services Manager

The meeting will be live streamed onto the Council's website. The live streaming will stop when any confidential items are considered. A recording of the meeting will also be available, shortly after the meeting at <https://flintshire.public-i.tv/core/portal/home>

If you have any queries regarding this, please contact a member of the Democratic Services Team on 01352 702345.

A G E N D A

1 APOLOGIES

Purpose: To receive any apologies.

2 DECLARATIONS OF INTEREST (INCLUDING WHIPPING DECLARATIONS)

Purpose: To receive any Declarations and advise Members accordingly.

3 MINUTES (Pages 5 - 14)

Purpose: To confirm as a correct record the minutes of the meeting held on 25 January 2023.

4 EXTERNAL REGULATION ASSURANCE (Pages 15 - 46)

Report of Chief Executive -

Purpose: To endorse the summary of all external regulatory reports received during 2021/22 along with the Council's responses.

5 QUARTER 4 TREASURY MANAGEMENT UPDATE 2022/23 (Pages 47 - 60)

Report of Corporate Finance Manager - Cabinet Member for Finance, Inclusion, Resilient Communities including Social Value and Procurement

Purpose: To provide an update on matters relating to the Council's Treasury Management Policy, Strategy and Practices to the end of February 2023.

6 CORPORATE SELF-ASSESSMENT 2021-22 (Pages 61 - 84)

Report of Chief Executive -

Purpose: To report on the findings and improvement plan following the completion of the Corporate Self-Assessment 2021/22.

7 GOVERNANCE & AUDIT COMMITTEE SELF-ASSESSMENT (Pages 85 - 96)

Report of Internal Audit, Performance and Risk Manager -

Purpose: To present the results of the Committee's self-assessment which will feed into the preparation of the Annual Governance Statement. It will also form the basis for the provision of any further training required by the Committee.

8 **ANNUAL GOVERNANCE STATEMENT 2021/22 MID-YEAR PROGRESS REPORT** (Pages 97 - 112)

Report of Chief Officer (Governance) -

Purpose: To provide the Governance and Audit Committee with an update of the progress made against managing the issues identified within the 2021/22 Annual Governance Statement.

9 **INTERNAL AUDIT STRATEGIC PLAN** (Pages 113 - 132)

Report of Internal Audit, Performance and Risk Manager -

Purpose: To present the proposed Internal Audit Plan for the three year period 2023/24 to 2025/26 for Members' consideration.

10 **INTERNAL AUDIT PROGRESS REPORT** (Pages 133 - 182)

Report of Internal Audit, Performance and Risk Manager -

Purpose: To present to the Committee an update on the progress of the Internal Audit Department.

11 **ACTION TRACKING** (Pages 183 - 188)

Report of Internal Audit, Performance and Risk Manager -

Purpose: To inform the Committee of the actions resulting from points raised at previous Governance and Audit Committee meetings.

12 **FORWARD WORK PROGRAMME** (Pages 189 - 194)

Report of Internal Audit, Performance and Risk Manager -

Purpose: To consider the Forward Work Programme of the Internal Audit Department.

Note: Annual Meeting with Internal and External Auditors

In accordance with the agreed procedure, members of the Governance & Audit Committee will meet with the Internal and External Auditors immediately following the meeting.

Please note that there may be a 10 minute adjournment of this meeting if it lasts longer than two hours